

DOCUMENTATION COLLECTION



ComplyLite provides the functionality to solicit, validate, store and provide management reporting for your account holder data.

Based on the Comply Exchange suite used by both FI's and MNC's across the U.S and Europe, ComplyLite is the simple to use, entry-level platform to help manage your documentation obligations (Forms W-8 and W-9) and help ensure you're compliant with chapters 3, 4 and 61.

E-FORM INTEGRATION



ComplyLite allows for the request of new forms or the re-solicitation of forms that have expired. Following IRS guidelines, account holders are guided through a predefined process to select and submit the appropriate form. The form is submitted using an electronic signature, validated in real-time and attached to the account holder record for review and reporting.

MANAGEMENT OF INFORMATION REPORTING



The ComplyLite dashboard provides a graphical display of information at a glance, while including a range of on demand Management Reporting exportable in MS Excel.

PAPER FORM SUPPORT



In addition to the E-Forms submission process, Lite Members can upload handwritten, paper Forms W-8 and W-9 to be digitized. The forms are automatically scanned and OCR'd to create a digital form and record. The digitized forms are validated and attached to the entity record.

BACK OFFICE SUPPORT



Our Client Account Support Group (CASG) provides an experienced and fully operational back-office facility. Established within a highly secure environment, our CASG oversee the accounts and provide daily support, ensuring a smooth flow of information and reviewing exceptions before they are passed to our clients. The CASG remove much of the burden of documentation management, while Lite clients retain both ownership and full accountability.